



**ALABAMA SECURITIES COMMISSION
AND
DEPARTMENT OF HUMAN RESOURCES
REPORT OF ADULT SUSPECTED TO BE FINANCIALLY EXPLOITED
For use by Qualified Individuals pursuant to Ala. Code § 8-6-170 to 179**



SECTION I - INCIDENT

Date of Incident: _____ Time: _____

SECTION II - PERSON IDENTIFIED AT RISK OF EXPLOITATION

Last Name: _____ First Name: _____ MI: _____
 Sex: M F Date of Birth: _____ Race: _____ Social Security#: _____
 Address: _____ Phone: _____
 Responsible Party (if applicable) Power of Attorney/Guardian/Conservator: _____
 Contact Information: _____
 Institution Tracking#: _____

SECTION III – PERSON ALLEGEDLY RESPONSIBLE FOR EXPLOITATION

Last Name: _____ First Name: _____ MI: _____ Phone#: _____
 Sex: M F Race: _____ Date of Birth: _____ Relationship to Victim: _____
 Address: _____ Social Security: _____
 Additional Information: _____

SECTION IV – PLEASE DESCRIBE THE INCIDENT (use additional pages if necessary)

SECTION V – CIRCUMSTANCES OF PERSON IDENTIFIED AT RISK

Person Identified at Risk	(check descriptions that apply)
Physical Dependence <input type="checkbox"/>	Intellectual Disability <input type="checkbox"/>
Behavioral Disorders <input type="checkbox"/>	Mental Problem <input type="checkbox"/>
Substance Abuse <input type="checkbox"/>	Economic Dependence <input type="checkbox"/>
Emotional Problems <input type="checkbox"/>	

**SECTION VI – IF ABUSE, NEGLECT, OR OTHER FINANCIAL EXPLOITATION IS SUSPECTED
PLEASE DESCRIBE**

SECTION VII – REPORTER

Name of Reporter: _____ Title: _____
Address/Phone Number of Reporter: _____
Firm Name: _____ Address: _____
Third Party Contacted? Y N Name: _____ Legal Relationship: _____
Third Party Contact Information: _____
Additional Witnesses/How to Contact: _____
Delayed Disbursement: Yes No
Financial Records Attached: Yes No

When finished—Save a copy for your records and e-mail form to Department of Human Resources at aps@dhr.alabama.gov, and the Alabama Securities Commission at adultprotect@asc.alabama.gov, or by fax at 334-353-4690. Updated Jan. 3, 2017