

\_\_\_\_\_  
(Insert File number(s) of Previous Filings before  
the Securities Commission, if any).

**FEE: \$300.00**

**See Alabama Code section 8-6-11(a)(9)**

**ALABAMA SECURITIES COMMISSION  
STATE OF ALABAMA**

**NOTICE OF TRANSACTION PURSUANT TO  
RULE 830-x-6-.12**

1. Name of Issuer:

\_\_\_\_\_

2. Address of Issuer:

\_\_\_\_\_

Street	City	State	Zip Code
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Mailing Address (if different than above):

\_\_\_\_\_

Street	City	State	Zip Code
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3. Area Code and Telephone Number \_\_\_\_\_

4. Issuer's State (or other jurisdiction) of incorporation or organization:

\_\_\_\_\_

5. Title of class or classes of securities sold in transaction:

\_\_\_\_\_

\_\_\_\_\_

6. Enter the information request for the following:

- Each promoter of the issuer, if the issuer has been organized within the past five years;
- Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer;
- Each executive officer and director of corporate issuers and of corporation general and managing partners of partnership issuers; and
- Each general and managing partner of partnership issuers.

Check Box(es) that Apply:  Promoter  Beneficial Owner  Executive Officer  
 Director  General and/or Managing Partner

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Full Name (Last name first, if individual)

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Business or Residence Address (Number and Street, City, State, Zip Code)

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Check Box(es) that Apply:  Promoter  Beneficial Owner  Executive Officer  
 Director  General and/or Managing Partner

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Full Name (Last name first, if individual)

---

Business or Residence Address (Number and Street, City, State, Zip Code)

---

Check Box(es) that Apply:  Promoter  Beneficial Owner  Executive Officer  
 Director  General and/or Managing Partner

---

Full Name (Last name first, if individual)

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Business or Residence Address (Number and Street, City, State, Zip Code)

---

Check Box(es) that Apply:  Promoter  Beneficial Owner  Executive Officer  
 Director  General and/or Managing Partner

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Full Name (Last name first, if individual)

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Business or Residence Address (Number and Street, City, State, Zip Code)

7. The value of the securities sold or proposed to be sold in the transaction, determined in accordance with Code of Alabama 1975, § 10-2B-6.21c, is:

		Alabama	Other States
(a)	(i) in money .....	\$ _____	\$ _____
	(ii) in consideration other than money .....	\$ _____	\$ _____
	(iii) total of (i) and (ii) .....	\$ _____	\$ _____

8. Exemption relied upon under Securities Act of 1933.

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9. List all exempt offerings made in the State of Alabama during the past four (4) years by the issuer or any other issuer of which any of the persons named in Item 6 were a principal. Include the name of the issuer, the name of the person listed in Item 6 and the Alabama exemption relied up on by such issuer.

10.

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11. Date of Notice \_\_\_\_\_

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Issuer

( ) Check if issuer already has a  
Consent to service of process on  
File with the Commissioner

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Authorized Signature on behalf of issuer

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Print name and title of signatory

Name, Address and Phone number of contact person:

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Instruction: Each issuer (other than an Alabama corporation) filing a notice under Rule 830-X-6-.12 must file a consent to service of process with a certified resolution (Forms U-2 and U-2A), unless it already has a consent to service of process on file with the commissioner.